

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

MTS-3326US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/089,998

INTERNATIONAL APPLICATION NO.
PCT/JP00/06926INTERNATIONAL FILING DATE
05.10.00 (5 Oct 2000)PRIORITY DATE CLAIMED
06.10.99 (6 Oct 1999)

TITLE OF INVENTION

LENS, OPTICAL HEAD, OPTICAL INFORMATION WRITING/READING APPARATUS AND OPTICAL INFORMATION RECORDING MEDIUM WRITING/READING METHOD

APPLICANT(S) FOR DO/EO/US

Hideki Hayashi; Sadao Mizuno

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern documents(s) or information included:

11. An Information Disclosure Statement under 37 U.S.C. 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
14. A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information: Certificate of Express Mail; 371 Formalities Letter (copy)

U.S. APPLICATION NO. (if known, see 37 CFR
10/089,998INTERNATIONAL APPLICATION NO.
PCT/JP00/06926ATTORNEY DOCKET NUMBER
MTS-3326US21. The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO... \$1040.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.... \$890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

CALCULATIONS PTO USE ONLY**ENTER APPROPRIATE BASIC FEE AMOUNT =**Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 30 Months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE
Total claims	- 20 =		X \$
Independent claims	- 3 =		X \$
MULTIPLE DEPENDENT CLAIM(S) (if applicable) <input type="checkbox"/>		+ \$	\$

TOTAL OF ABOVE CALCULATIONS =

\$ 130.00

 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.**SUBTOTAL =**

\$ 130.00

Processing fee of **\$130.00** for furnishing the English translation later than 20 30 Months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 130.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

+

TOTAL FEES ENCLOSED =

\$ 170.00

10/2002 SNAJARRO 00000113 10089998

Amount to be refunded:

11 0:154 130.00 0P

\$

Charged:

\$

a. Two checks totaling the amount of \$170.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0350. A duplicate copy of this sheet is enclosed.

d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Allan Ratner
Ratner & Prestia
P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700
(610) 407-0701

SIGNATURE

Allan Ratner
NAME

19,717
REGISTRATION NUMBER

July 30, 2002
DATE

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): H. Hayashi et al.

Docket No.

MTS-3326US

Serial No.

10/089,998

Filing Date

Herewith

Examiner

Group Art Unit

Invention: LENS, OPTICAL HEAD, OPTICAL INFORMATION WRITING/READING APPARATUS
AND OPTICAL INFORMATION RECORDING MEDIUM WRITING/READING METHOD

I hereby certify that the following correspondence:

Form PTO-1390 (in duplicate) and its related enclosures

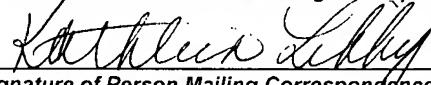
(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

The Assistant Commissioner for Patents, Washington, D.C. 20231 on July 30, 2002

Kathleen Libby

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

EV115251056US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.